NC-TOPPS Mental Health and Substance Abuse **Child (Ages 6-11)** | Transfer/Episode Completion Interview **Use this form for backup only. <u>Do not mail</u>. Enter data into web-based system. (https://nctopps.ncdmh.net) **Clinician First Initial & Last Name** LME Assigned Consumer Record Number 7. Assessments of Functioning a. Was the Global Assessment of Functioning (GAF) score updated in the past 3 months or since the last interview? \square Y \square N \rightarrow (skip to 8) Please provide the following information about the individual: b. Current Global Assessment of Functioning Score: 1. Date of Birth 8. Please indicate the DSM-IV TR diagnostic classification(s) for this individual. (See Attachment I) 2. Gender \square Male \square Female 9a. First MH Treatment Date (for this episode of treatment) 3. Please select the appropriate age/disability category(ies) for which the individual is receiving services and supports. ☐ Child Mental Health, age 6-11 9b. Date of Last Billable Service 4. Individual County of Residence: 9c. Date of Last Face-to-Face Contact: **5. IPRS Target Populations** (mark all that apply) **10. Special Populations** (mark all that apply) ☐ CSMAJ ☐ CMSED □ SSI/SSDI ☐ Homeless □ CSSAD ☐ CMMED ☐ Traumatic Brain Injury (TBI) ☐ Blind ☐ CSIP ☐ CMDEF ☐ Deaf/hard of hearing ☐ Sex offender \square CSSP ☐ CMPAT ☐ DSS custody ☐ Outpatient commitment ☐ Juvenile justice ☐ Child discharged from state-operated facility □ CDSN ☐ Non-English speaking ☐ Therapeutic Foster Care ☐ None of the above ☐ Sexually Reactive Youth ☐ None of these 11. Special Programs (mark all that apply) or facility" or is this an "Episode Completion"? ☐ Multi-Systemic Therapy (MST) ☐ Intensive in-home ☐ Methamphetamine Treatment Initiative ☐ None of these

 \square CSWOM □ CSCJO ☐ CSDWI 6. Is this consumer "Transferring to another program \square Transferring to another program or facility \rightarrow (skip to 7) ☐ Episode Completion b. If "Episode Completion," please indicate reason: (mark only one) If Episode Completion reason is 'Consumer did not return as scheduled ☐ Completed treatment within 60 days' or 'Died,' answer 12. ☐ Discharged at program initiative 12. How many weeks ago was the consumer last seen for treatment? ☐ Past week ☐ 5-8 weeks ago ☐ Refused treatment ☐ 2-4 weeks ago ☐ More than 8 weeks ago ☐ Consumer did not return as scheduled within 60 days 13. Since the last interview, the consumer has attended scheduled treatment ☐ Incarcerated sessions... ☐ Institutionalized ☐ Rarely or never ☐ Sometimes ☐ Died ☐ All or most of the time

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	Since the individual started servi treatment, which comprehensive				Section II: Complete items 17-36 using information from the individual's interview (preferred) or consumer record
	individual received and (b) which	h are still	l need	led in the	17. How are items 18-36 being gathered? (mark all that apply)
	following areas?	a. Recei	ived	b. Still Needed	In manage intermited (manfaged)
		Yes	No	Yes No	☐ Telephone interview
	Educational improvement		፱		☐ Clinical record/notes
2.	Food				18. Who is the respondent? (mark all that apply)
3.	Transportation				☐ Parent
4.	Child care				☐ Guardian
5.	Family and/or peer relationships				Other
6.	Medical care				19. Does your child and/or family ever have difficulty participating
7.	Psychological/emotional care				in treatment because of problems with
8.	Screening for HIV/TB/HEP				No difficulties prevented your child from entering treatment
9.	Treatment referral for HIV/TB/HE	Р			Active mental health symptoms (anxiety or fear, agoraphobia, paranoia,
	Legal issues				hallucinations)
	Interpreter (deaf or foreign languag		\Box		Active substance abuse symptoms (addiction, relapse)
	Respite		$\overline{\Box}$		Physical health problems (severe illness, hospitalization)
	Appropriate living setting				☐ Family or guardian issues (controlling spouse, family illness, child or elder
	Crisis services				care, domestic violence, parent/guardian cooperation)
	Housing (basic shelter or rent subs	idy)			Treatment offered did not meet needs (availability of appropriate services,
	In the past 3 months, has the ind		fami	ly or guardian	type of treatment wanted by consumer not available, favorite therapist quit, etc.)
been involved in any contact with staff concerning any of the				ning any of the	☐ Engagement issues (AWOL, doesn't think s/he has a problem, denial, runaway, oversleeps)
	following? (mark all that appl	y)			Cost or financial reasons (no money for cab, treatment cost)
I Treatment services					
	☐ Person-centered planning ☐ None of the above \rightarrow (skip to 1)	(6)			Stigma/Embarrassment
TO T	· · · · · · · · · · · · · · · · · · ·			, ,	Treatment/Authorization access issues (insurance problems, waiting list, paperwork problems, red tape, lost Medicaid card, IPRS target populations,
	Episode Completion reason is 'Co leduled within 60 days' or 'Died,'				Value Options, referral issues, citizenship, etc.)
	<u>·</u> <u>·</u>		-		☐ Language or communication issues (foreign language issues, lack of
į	b. In the past 3 months, how often h guardian been involved in any co			•	interpreter, etc.)
	☐ Once a week or more	ntuct with	1 Starr	•	Legal reason (incarceration, arrest)
	☐ Twice a month or more				☐ Transportation/Distance to provider
	☐ Once a month				☐ Scheduling issues (work or school conflicts, appointment times not workable,
	☐ Less than once a month				no phone)
	c. This contact was mostly				20. Is your child currently enrolled in school or courses that satisfy
	☐ Face-to-face ☐ By telephone				requirements for a certification, diploma or degree? (Enrolled
16. If "None of the above" is answered on questions 15, please					includes school breaks, suspensions, and expulsions)
specify a reason why no family members or guardian have been involved in person-centered planning or treatment					$\square Y \qquad \square N \rightarrow (skip \ to \ 21)$
services: (mark all that apply)					b. If <u>ves</u> , what programs are your child currently enrolled in for
☐ Consumer has no family or guardian					credit? (mark all that apply)
Consumer declines family involvement					☐ Alternative Learning Program (ALP) - at-risk students outside standard classroom
	☐ Family declines to be involved ☐ Scheduling conflicts				Academic schools (K-12)
	Other				
				_	

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Use this form for backup only. *Do not mail*. Enter data into web-based system. (https://nctopps.ncdmh.net) 21. For K-12 only: 27. In the past 3 months, how many times has your child moved residences? a. What grade is your child currently in? (enter 0, if none & skip to 28) b. Since beginning treatment, your child's school attendance has... ☐ stayed the same If Episode Completion reason is 'Consumer did not return as ☐ improved ☐ gotten worse scheduled within 60 days' or 'Died,' skip 27b. c. For your child's most recent reporting period, what grades did s/he get most of the time? (mark only one) b. What was the reason(s) for your child's most recent move? \square A's \square B's \square C's \square D's \square F's \square School does not use (mark all that apply) traditional grading system ☐ Moved closer to family/friends d. If school does not use traditional grading system, for your ☐ Moved in with roommate child's most recent reporting period, did s/he pass or fail most ☐ Moved to nicer location ☐ Fail ☐ Moved to safer location 22. For K-12 only: In the past 3 months, how many days of ☐ Needed more supervision school has your child missed due to... ☐ Needed more supports a. Expulsion_ ☐ Moved to location with more independence ☐ Moved to location with better access to activities and/or services b. Out-of-school suspension-■ Evicted ☐ Could no longer afford previous location c. Truancy d. Is your child currently expelled from regular school? 28. Currently, where does your child live? 23. In the past 3 months, how often did your child participate in ... ☐ Homeless ☐ Residential program a. extracurricular activities? \rightarrow (skip to d) \rightarrow (skip to b) ☐ A few times ☐ More than a few times □ Never ☐ Temporary housing ☐ Facility/institution b. support or self-help groups? \rightarrow (skip to c) \rightarrow (skip to e) ☐ A few times ☐ More than a few times \square In parent's/guardian's home/apt \square Other \rightarrow (skip to 29) c. organized religious activities? \rightarrow (skip to 29) ☐ Never ☐ A few times ☐ More than a few times If Episode Completion reason is 'Consumer did not return as 24. In the past 3 months, how often have your child's problems scheduled within 60 days' or 'Died,' skip 28b, 28c, 28d, and 28e. interfered with play, school, or other daily activities? ☐ Never ☐ A few times ☐ More than a few times b. *If homeless*, please specify your child's living situation currently. 25. In the past month, how would you describe your child's ☐ Sheltered (homeless shelter) mental health symptoms? ☐ Unsheltered (on the street, in a car, camp) ☐ Extremely severe ☐ Severe ☐ Moderate ☐ Mild ☐ Not present 26. Does your child have a current prescription for psychotropic c. If temporary housing, please specify the type of temporary housing **medications? \square Y \square N \rightarrow (*skip to 27*) your child currently lives in. ☐ Transitional housing (time-limited stay) b. In the past month, how often has your child taken this medication as prescribed? ☐ Living temporarily with other(s) \square All or most of the time \rightarrow (skip to 27) d. If residential program, please specify the type of residential ☐ Sometimes program your child currently lives in. ☐ Rarely or never ☐ Foster home c. If sometimes or rarely/never, what are some of the reasons ☐ Therapeutic foster home that your child did not take his/her medication(s) all or ☐ Level III group home (mark all that apply) most of the time? ☐ Level IV group home ☐ Trouble in remembering to take medication(s) ☐ State-operated residential treatment center ☐ Too many medication(s) e. If facility/institution, please specify the type of facility your child ☐ Negative side effects of medication(s) currently lives in. ☐ High cost of medication(s) ☐ Psychiatric Residential Treatment Facility (PRTF) ☐ Do not feel need for medication(s) ☐ Public institution ☐ Forgot injection appointment ☐ Private institution ☐ No transportation to injection appointment ☐ Correctional facility ☐ Other

Child (Ages 6-11)

Transfer/Episode Completion Interview

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29. Was this living arrangement in your child's home community? ☐ Y ☐ N	39. Other than yourself, how many active, stable relationship(s) with adult(s) who serve as positive role models does your child have? (i.e., member of clergy, neighbor, family member, coach) □ None □ 1 or 2 □ 3 or more		
30. In the past 3 months, has your child received any residential services outside of his/her home community? ☐ Y ☐ N			
community?	40. In the past 3 months, how often has your child been hit, kicked, slapped, or otherwise physically hurt? □ Never → (skip to 41) □ A few times □ More than a few times b. By whom was your child physically hurt? (mark all that apply) □ Parent □ Peer (other child)		
31. In the past 3 months, who did your child live with most of the time? (mark all that apply) ☐ Mother/Stepmother ☐ Sibling(s)			
☐ Father/Stepfather ☐ Other relative(s) ☐ Grandmother ☐ Guardian			
☐ Grandfather ☐ Other	☐ Sibling ☐ Gang member(s)		
☐ Foster family	Other adult Don't know		
32. In the past 3 months, who was your child's primary caregiver? (mark only one) □ Parent(s) □ Foster parent(s) □ Grandparent(s) □ Other relative(s) □ Sibling(s) □ Other	41. In the past 3 months, how often has your child hit, kicked, slapped, or otherwise physically hurt someone? □ Never □ A few times □ More than a few times		
33. In the past 3 months, has your child used tobacco or alcohol? ☐ Y ☐ N ☐ Don't know	42. In the past 3 months, has your child been forced or pressured to do sexual acts? ☐ Y ☐ N		
34. In the past 3 months, has your child used illicit drugs or other substances? ☐ Y ☐ N ☐ Don't know 35. In the past month, how many times has your child been in trouble with the law? (enter 0, if none)	43. Since the last interview, how often has your child tried to hurt him/herself or cause him/herself pain on purpose (such as cut, burned, or bruised self)? \[\sum \text{Never} \] \[\sum \text{A few times} \] \[\sum \text{More than a few times} \]		
36. Is your child currently under any type of juvenile justice supervision? ☐ Y ☐ N	44. Since the last interview, how often has your child had thoughts of suicide?		
Section III: Complete items 37-49 from the individual's interview only	□ Never □ A few times □ More than a few times □ Don't know 45. Since the last interview, has your child attempted suicide? □ Y □ N		
37. Is the respondent present for in-person or telephone interview?☐ Y - Complete items 38-49			
 N - Stop here 38. Does your child have an identified public or private primary health care provider? ☐ Y ☐ N → (skip to 39) b. When was the last time your child saw this provider? ☐ Within the past year ☐ Within the past 2 years ☐ Within the past 5 years ☐ More than 5 years ago 	46. In the past 3 months, how well has your child been doing in the following areas of his/her life? Excellent Good Fair Poor		

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47. In the past 3 months, approximately how many	48. What kind of health/medical insurance does your child							
a. <u>telephone</u> contacts to an emergency crisis	have? (mark all that apply) ☐ None	☐ Medicaid						
facility did your child have?	☐ Private insurance/health plan	☐ Medicare						
b. <u>face-to-face</u> contacts to an emergency crisis	☐ CHAMPUS or CHAMPVA	Other						
facility or mobile crisis unit did your child have?	☐ Health Choice	☐ Unknown						
c. <u>visits</u> to a hospital emergency room	49. How helpful have the program servi							
did your child have?	a. improving the quality of your child's l							
e. <u>nights</u> in a facility-based crisis service did	□ Not helpful □ Somewhat helpful	☐ Very helpful ☐ NA						
your child spend?	b. decreasing your child's symptoms?	,						
f wiebte in facility based manife did years	□ Not helpful □ Somewhat helpful	☐ Very helpful ☐ NA						
f. <u>nights</u> in facility-based respite did your child spend?								
emid spend.	c. increasing your child's hope about the							
g. <u>nights</u> in an inpatient facility for mental	☐ Not helpful ☐ Somewhat helpful	☐ Very helpful ☐ NA						
health treatment did your child spend?	d. increasing your child's control over his/her life?							
h. nights in a medical/surgical hospital did	☐ Not helpful ☐ Somewhat helpful	☐ Very helpful ☐ NA						
your child spend?								
i. nights homeless (sheltered or unsheltered)								
did your child spend?								
j. nights in juvenile detention or youth								
development center did your child spend?								
End of in	terview							
Enter data into web-based system: https://nctopps.ncdmh.net Do not mail this form								

Attachment I: DSM-IV TR Diagnositic Classifications

Childhood Disorders

☐ Learning Disorders (315.00, 315.10, 315.20, 315.90)	☐ Autism and pervasive development (299.00, 299.10, 299.80)					
☐ Motor skills disorders (315.40)	☐ Attention deficit disorder (314.xx, 314.90)					
☐ Communication disorders (307.00, 307.90, 315.31, 315.39)	☐ Conduct disorder (312.80)					
☐ Childhood disorders-other (307.30, 309.21, 313.23, 313.89, 313.90)	☐ Disruptive behavior (312.90)					
☐ Mental Retardation (317, 318.00, 318.10, 318.20, 319)	☐ Oppositional defiant disorder (313.81)					
Substance-Related Disorders						
☐ Alcohol abuse (305.00)						
☐ Alcohol dependence (303.90)						
☐ Drug abuse (305.20, 305.30, 305.40, 305.50, 305.60, 305.70, 305.90)						
☐ Drug dependence (304.00, 304.10, 304.20, 304.	30, 304.40, 304.50, 304.60, 304.80, 304.90)					
Schizophrenia and Other	Psychotic Disorders					
☐ Schizophrenia and other psychotic disorders (293	3.xx, 295.xx, 297.10, 297.30, 298.80, 298.90)					
Mood Disorders						
☐ Dysthymia (300.40)						
☐ Bipolar disorder (296.xx)						
☐ Major depressi						
Anxiety Disorders ☐ Anxiety disorders (other than PTSD) (293.89, 300.00, 300.01, 300.02, 300.21, 300.22, 300.23, 300.29, 300.30, 308.30)						
☐ Posttraumatic Stress Disorder (PTSD) (309.81)	, 300.02, 300.21, 300.22, 300.23, 300.29, 300.30, 308.30)					
Adjustment D	Disorders					
Adjustment disorders (309.xx)						
Personality, Impulse Control, and Identity Disorders						
Personality disorders (301.00, 301.20, 301.22, 301.40, 301.50, 301.60, 301.70, 301.81, 301.82, 301.83, 301.90)						
☐ Impulse control disorders (312.31, 312.32, 312.33, 312.34, 312.39)						
☐ Sexual and gender identity disorders (302.xx, 306.51, 607	(.84, 608.89, 625.00, 625.80)					
Delerium, Dementia, & Oth	er Cognitive Disorders					
☐ Delirium, dementia, and other cognitive disorders (290.	xx, 290.10, 293.00, 294.10, 294.80, 294.90, 780.09)					
Disorders Due to Medical Condition and Medications						
☐ Mental disorders due to medical condition (306, 3	316)					
☐ Medication induced disorders (332.10, 333.10, 33	33.70, 333.82, 333.90, 333.92, 333.99, 995.2)					
Somatoform, Eating, Sleeping & Factitious Disorders						
☐ Somatoform, eating, sleeping, and factitious disor						
<u>Dissociative Disorders</u> ☐ Dissociative disorders (300.12, 300.13, 300.14, 300.15, 300.60)						
Other Disorders						
☐ Other mental disorders (Codes not listed above) ☐ Other clinical issues (V-codes)						
	Version 07/01/07					